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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/601,912	06/23/2003	Richard L. Antrim	006401.00399	7581
22908 BANNER & V	22908 7590 12/06/2007 BANNER & WITCOFF, LTD.		EXAMINER	
TEN SOUTH WACKER DRIVE			BLAND, LAYLA D	
SUITE 3000 CHICAGO, IL 60606			ART UNIT	PAPER NUMBER
011101100,12			1623	,
			MAIL DATE	DELIVERY MODE
			12/06/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
	10/601,912	ANTRIM ET AL.			
Office Action Summary	Examiner	Art Unit			
•	Layla Bland	1623			
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on <u>07 A</u>	· = ·	·			
,					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
closed in accordance with the practice under E	ex parte Quayre, 1955 C.D. 11, 45	03 O.G. 213.			
Disposition of Claims	•				
4) ⊠ Claim(s) 1-10,34 and 35 is/are pending in the 4a) Of the above claim(s) is/are withdray 5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) 1-10,34 and 35 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or	wn from consideration.				
Application Papers					
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Example 11.	epted or b) objected to by the drawing(s) be held in abeyance. Settion is required if the drawing(s) is ob	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)	n∏	(DTO 442)			
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO/SB/08)</li> <li>Paper No(s)/Mail Date</li> </ol>	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	ate			

10/601,912 Art Unit: 1623

### **DETAILED ACTION**

This office action is a response to applicant's amendment and Declaration of Dr. Perminus Mungara, both submitted August 7, 2007. Claims 1-10, 34 and 35 are pending in this application and are examined on the merits herein.

The following rejections are record are maintained:

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-10, 34 and 35 are rejected under 35 U.S.C. 102(b) as being anticipated by Meyers et al. (US Patent 5,518,739).

Meyers et al anticipate the claims as it teaches a maltodextrin having degree of polymerization between 4 and 27 (co1.3, line 21). Meyers et al also disclose maltodextrin derivatized with dextrin via glycosidic linkages such as  $\alpha$ -1,6,  $\beta$ -1,2,  $\beta$ -1,3 and  $\beta$ -1,6 (col.3, lines 22-24). It is inherent property of a dextrin to exist in the form of

10/601,912 Art Unit: 1623

dextrose, maltose (two dextrose attached with each other), maltotriose (three dextrose attached with each other), and maltotetraose (four dextrose attached with each other). Myers et al also disclose the hydrogenated starch hydrolyzate known as sorbitol (col.3, lines 49-50). Thus, this meets the process limitations. Regarding claim 35, the new limitation of how the extrusion reaction is performed does not have any patentable weight to the composition claimed in claim 1.

Claims 1-5, 7-10, 34 and 35 are rejected under 35 U.S.C. 102(b) as being anticipated by Yoshida et al. (US Patent 4,840,807).

Yoshida et al. anticipate the claims as it teaches a maltodextrin having degree of polymerization between 1 and 20 (co1.5, line 30). Yoshida et al also disclose maltodextrin derivatized with dextrin via glycosidic linkages such as α-1,6 and α-1,4 (claim 1). Dextrin is disclosed in the form of dextrose, maltose (two dextrose attached with each other), maltotriose (three dextrose attached with each other), and maltotetraose (four dextrose attached with each other) (col 7, lines 54-62). Thus, this meets the process limitations. Regarding claim 35, the new limitation of how the extrusion reaction is performed does not have any patentable weight to the composition claimed in claim 1.

Claims 1-5, 7-10, 34 and 35 are rejected under 35 U.S.C. 102(e) as being anticipated by Fouache et al. (US Patent 6,630,586).

10/601,912 Art Unit: 1623

Fouache et al anticipate the claims as it teaches a maltodextrin having degree of polymerization between 1 and 20 (co1.5, line 30). Fouache et al also disclose maltodextrin derivatized with dextrin via glycosidic linkages such as  $\alpha$ -1,6 and  $\alpha$ -1,4 (claim 1 ). Dextrin is disclosed in the form of dextrose, maltose (two dextrose attached with each other), maltotriose (three dextrose attached with each other), and maltotetraose (four dextrose attached with each other) (col 7, lines 54-62). Thus, this meets the process limitations. Regarding claim 35, the new limitation of how the extrusion reaction is performed does not have any patentable weight to the composition claimed in claim 1.

Regarding claims 1 and 35, the patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process. *In re Thorpe*, 777 F.2d 695, 698,227 USPQ 964, 966 (Fed. Cir. 1985).

## Response to Arguments

Applicant's arguments and the Declaration of Dr. Perminus Mungara filed August 7, 2007 have been fully considered but they are not persuasive.

Applicant argues that Yoshida et al. does not anticipate the claims because, in the product of Yoshida, there will be nothing other than alpha-1,4 and alpha-1,6 bonds in the finished product, unlike the present invention.

10/601,912 Art Unit: 1623

The instant claims are not drawn to a product containing bonds other than alpha-1,4 and alpha-1,6 bonds, so this argument is not relevant.

Applicant argues that, as presented in the declaration of Dr. Perminus Mungara, the products of Meyers and Fouache are different from the product of the present invention.

This is not found persuasive. First, the Nutriose and Fibersol-2 used by Dr. Mungara was not prepared according to the prior art procedures, but was purchased. Thus, the products being compared to the present invention might or might not be the prior art products. Second, Dr. Mungara compared only a few embodiments of the very broadly claimed instant invention. The examples are not commensurate in scope with the claims.

The instant claims are very broad. It is unclear what distinguishes the claimed products from the prior art, what properties the claimed products have, and how one would know if he or she was infringing the claimed invention.

Thus, the rejections made in the previous office action are maintained.

### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

10/601,912 Art Unit: 1623

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Layla Bland whose telephone number is (571) 272-9572. The examiner can normally be reached on M-R 8:00AM-5:00PM UST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shaojia Anna Jiang can be reached on (571) 272-0627. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

10/601,912 Art Unit: 1623

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Layla Bland Patent Examiner Art Unit 1623 November 29, 2007 Shaojia Anna Jiang

Supervisory Patent Examiner

Art Unit 1623

November 29, 2007